



THE UNIVERSITY OF CAPE TOWN

Institutional Statute

Institutional Rules

As at April 2020



UNIVERSITY OF CAPE TOWN

INSTITUTIONAL STATUTE AND INSTITUTIONAL RULES

(as at April 2020)

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UNIVERSITY OF CAPE TOWN

1. INTRODUCTION

Public and private higher education institutions in South Africa are regulated by the Higher Education Act, No. 101 of 1997 as amended. This Act and the regulations published under this Act are available at the website of the Department of Higher Education and Training. This Act requires each public higher education institution to have its own **institutional statute**, the provisions of which augment and must be consistent with the provisions of the Act. This Act also allows the University Council to adopt **institutional rules** and lays down the procedures the University Council must follow when adopting such rules.

This booklet contains UCT's **institutional statute** and certain **institutional rules** that the University Council has adopted. For all other institutional rules and policies, refer to

- a) Handbook 3, available at <http://www.uct.ac.za/downloads/uct.ac.za/apply/handbooks>
- b) <http://www.uct.ac.za/about/policies>
- c) The SRC Constitution can be found at the following link:
http://www.dsa.uct.ac.za/sites/default/files/image_tool/images/412/Student_Development/Student_Governance_Documents/SRC%20Constitution%20June%202016.pdf

And the SRC Election Regulations at:

http://www.dsa.uct.ac.za/usr/downloads/uct.ac.za/about/governance/students/SRC_Election_Regulations_June_2016.pdf

It does not reproduce the following measures which are of importance to the work of the University: these measures may be found on the web-sites of HESA, the DHET, the CHE and SAQA.

- a) The Higher Education Act, Act 101 of 1997 as amended.
- b) The unrepealed section of the Universities Act, 1955 and Joint Statute and Joint Regulations published under it.
- c) The legislation and regulations for the South African Qualifications Authority (SAQA), the National Qualifications Framework (NQF), or the Higher Education Qualifications Sub-Framework (HEQF) which is a sub-framework of the NQF.
- d) It also does not reproduce the large number of non-university-specific measures which affect the University directly or indirectly: these include safety laws and regulations, measures that deal with constitutional values (PAIA and PAJA) and employment law.

Registrar



THE UNIVERSITY OF CAPE TOWN

2. STATUTE OF THE UNIVERSITY OF CAPE TOWN

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DEFINITIONS

1. Definitions

In this Statute any word or expression to which a meaning has been assigned by the Higher Education Act, 1997 (Act No. 101 of 1997), has the meaning so assigned to it, and, unless the context otherwise indicates:

“academic staff” means any person appointed by the council to a teaching or research position at the University and includes the professors, associate professors, senior lecturers, lecturers, and assistant lecturers, and such categories of research officer as council may designate;

“Act” means the Higher Education Act, 1997 (Act No. 101 of 1997);

“appointments committee” means the appointments committee contemplated in paragraph 45 of this Statute;

“certificate” means a credit-bearing certificate of the University awarded to a student on completion of the work prescribed for the certificate by the senate;

“convocation” means the convocation contemplated in paragraphs 37 to 42;

“council” means the council contemplated in paragraphs 12 to 21;

“degree” means a degree of the University conferred upon a student at a congregation on completion on the work prescribed for the degree by the senate;

“deputy vice-chancellor” means a person appointed in terms of paragraphs 10 and 11;

“diploma” means a diploma of the University awarded to a student at a congregation on completion of the work prescribed for the diploma by the senate;

“donor” means a person who, before the commencement of this Statute was a donor entitled to elect members of the council and any other person who satisfies the requirements as determined by the Rules;

“examination” means any test or assessment, including any written, oral, practical or clinical test or assessment where the result obtained contributes to, or constitutes, the result for a course;

“faculty and department” means a faculty or department contemplated in paragraph 43;

“graduate” means a person upon whom a degree has been conferred, and

“graduate of the University” means a person upon whom the University has conferred a degree;

“institutional forum” means the institutional forum contemplated in paragraphs 29 to 34;

“Minister” means the Minister responsible for higher education;

“officer of the University” means a member of the staff of the University designated as such by the council;

“postgraduate student”, for the purposes of appointments to the council, the senate and the institutional forum, means a person registered as a student for a degree of master or of doctor of the University;

“professional, administrative, support and service staff” means the staff

appointed at the University in the academic, administrative, support and service departments and who are not academic staff;

“professor” means a person appointed as a professor or as a distinguished professor by the council after consultation with the senate, but does not include an emeritus, adjunct, associate or honorary professor;

“recognised staff body” means anybody organised by and among staff to represent the staff, or a section of the staff, including a trade union, recognised for this purpose by the council;

“registrar” means the officer contemplated in paragraphs 54 and 55;

“Rules” means any rules made by the council in terms of section 32 of the Act;

“seat of the University” means the seat contemplated in paragraph 2 of this Statute and in section 65A of the Act;

“senate” means the senate contemplated in paragraphs 22 to 28;

“senior management of the University” for the purposes of this Statute and section 31 of the Act, means the vice-chancellor, deputy vice-chancellors, registrar, chief operating officer, deans and executive directors;

“SRC” means the SRC contemplated in paragraph 44 of this Statute and in section 35 of the Act;

“staff” means any person appointed at the University to a position by the council, whether part-time or full-time, permanent, temporary or fixed-term contract, remunerated or honorary;

“student” means a person currently registered at the University for a programme of study leading to a degree, diploma or certificate, or as an occasional student, or as a postdoctoral fellow;

“trustee of the UCT Foundation” means a person holding office as a trustee of the UCT Foundation;

“UCT Foundation” means the trust registered under this name to raise and hold funds for the University;

“University” means the University of Cape Town; and

“vice-chancellor” means the vice-chancellor contemplated in paragraphs 7 to 9 of this Statute and in section 30 of the Act.

UNIVERSITY

2. Name, status and physical location of University

- 1) The name of the University is the University of Cape Town.
- 2) The University is a juristic person and, subject to the Act is capable of performing such acts as juristic persons may perform.
- 3) Notwithstanding subparagraph (2) the University may not, without the concurrence of the Minister, dispose of or alienate in any manner any immovable property or grant any real right therein or servitude thereto.
- 4) The seat of the University is upon the portions of the Groote Schuur Estates that were transferred to and vested in the State under the Rhodes’

Will (Groote Schuur Devolution) Act, 1910 (Act No. 9 of 1910), and granted to the University.

- 5) The activities of the University are principally administered at its seat and in Cape Town.

3. Composition of the University

- (1) The University consists of –
 - (a) the chancellor;
 - (b) the vice-chancellor;
 - (c) the deputy vice-chancellors and the chief operating officer;
 - (d) the registrar
 - (e) the council;
 - (f) the senate;
 - (g) the institutional forum;
 - (h) the SRC;
 - (i) the convocation;
 - (j) the students;
 - (k) the staff; and
 - (l) structures and offices as determined by the Council
- (2) No vacancy in any of the offices contemplated in subparagraph (1) nor any vacancy or deficiency in the numbers or defect in the composition of the bodies contemplated in subparagraph (1) impairs or affects the existence of the University as a juristic person or any function or powers conferred upon the body or the University by this Statute or by the Act.

CHANCELLOR

4. Functions of chancellor

- (1) The chancellor is the titular head of the University.
- (2) The chancellor, or in his or her absence the person appointed to act on behalf of the chancellor, presides at all congregations of the University and, in the name of the University, confers all degrees and awards all diplomas and certificates.

5. Election of chancellor

- (1) The chancellor is elected by an electoral college.
- (2) The registrar must constitute the electoral college at least three months before the start of the term of office or whenever the office is vacant.
- (3) The electoral college consists of -
 - (a) the president of convocation;
 - (b) twenty-one members elected by the graduates, and holders of diplomas and certificates, from their number, in the manner subparagraph (5) prescribes;

- (c) six members elected by the academic staff from their number, in the manner subparagraph (6) prescribes;
 - (d) six members elected by the professional, administrative support and service staff from their number, in the manner subparagraph (7) prescribes; and
 - (e) six students elected by the SRC.
- (4) The procedure for nominating candidates for election as chancellor is as follows -
- (a) The registrar must publish a notice in such manner as the council from time to time decides inviting nominations.
 - (b) Each nomination must be in writing, and must –
 - i. be signed by at least 20 and not more than 30 people each of whom must be a member of the convocation or a member of staff or a student;
 - ii. be accompanied by the written acceptance of his or her nomination by the nominee, and by a statement by him or her, not exceeding 200 words, summarising his or her curriculum vitae and candidature; and
 - iii. be received by the registrar by the date he or she specifies in the notice or by such later date as may be condoned by the council.
- (5) The procedure whereby the graduates and holders of diplomas and certificates elect 21 of their number to be members of the electoral college is as follows –
- (a) The registrar must publish a notice in the manner the council decides inviting nominations.
 - (b) Each nomination must be in writing and must –
 - (i) be signed by six people each of whom must be a graduate, or the holder of a diploma or certificate;
 - (ii) be accompanied by the written acceptance of his or her nomination by the nominee, and by a statement by him or her, not exceeding 200 words, summarising his or her curriculum vitae; and
 - (iii) be received by the registrar by the date he or she specifies in the notice.
 - (c) If the registrar receives 21 or fewer nominations he or she must declare those nominated to be duly elected.
 - (d) If the registrar receives more than 21 nominations, he or she must hold a ballot of the graduates and holders of diplomas and certificates, after publishing the statements of the candidates for election, the method of taking of the ballot to be decided by the

council from time to time.

- (e) If the registrar receives fewer than 21 nominations, he or she must repeat the process in (a), (b) and (c) to make up the number to 21.
- (6) The procedure whereby the academic staff elect six of their number to be members of the electoral college is as follows -
- (a) The registrar must publish a notice in the manner the council decides inviting nominations.
 - (b) Each nomination must be in writing and must -
 - (i) be signed by six members of the academic staff;
 - (ii) be for a member of the academic staff;
 - (iii) be accompanied by the written acceptance of his or her nomination by the nominee, and by a statement by him or by her, not exceeding 200 words, summarising his or her curriculum vitae; and
 - (iv) be received by the registrar by the date he or she specifies in the notice.
 - (c) If the registrar receives six or fewer nominations he or she must declare those nominated elected.
 - (d) If the registrar receives more than six nominations, he or she must hold a ballot of the academic staff, the method of taking of the ballot to be decided by the council from time to time.
 - (e) If the registrar receives fewer than six nominations, he or she must repeat the process in (a), (b) and (c) to make up the number to six.
- (7) The procedure whereby the professional, administrative, support and service staff elect six of their number to be members of the electoral college is as follows -
- (a) The registrar must publish a notice in the manner the council decides inviting nominations.
 - (b) Each nomination must be in writing and must -
 - (i) be signed by six members of these staff;
 - (ii) be for a member of these staff;
 - (iii) be accompanied by the written acceptance of his or her nomination by the nominee, and by a statement by him or her, not exceeding 200 words, summarising his or her curriculum vitae; and
 - (iv) be received by the registrar by the date he or she specifies in the notice.

- (c) If the registrar receives six nominations he or she must declare those nominated elected.
 - (d) If the registrar receives more than six nominations, he or she must hold a ballot of these staff, the method of taking of the ballot to be decided by the council from time to time.
 - (e) If the registrar receives fewer than six nominations, he or she must repeat the process in (a), (b) and (c) to make up the number to six.
- (8) The term of office of the -
- (a) members of the electoral college elected by the SRC is a period of 12 months from the date on which it is first convened;
 - (b) other members of the electoral college is a period of three years from the date on which it is first convened.
- (9) If a student vacancy occurs within 12 months, and the electoral college needs to meet, the registrar must invite the SRC to fill any vacancy in the category of members elected by the SRC.
- (10) If any other vacancy occurs in the membership of the electoral college and the electoral college needs to meet, the registrar must -
- (a) declare the person who obtained the next number of votes in the original ballot concerned to be elected to fill the vacancy; or
 - (b) if the vacancy is in the category elected by graduates and holders of diplomas and certificates and if there is no such person, hold a ballot to fill the vacancy only if there are three or more vacancies, in which case the ballot must be held to fill all the vacancies; or
 - (c) if the vacancy is in any other category and if there is no such person, hold a ballot to fill any such vacancy;
- (11) The electoral college must elect the chancellor by ballot, from the nominated candidates after the registrar has given to the electoral college the statements of the candidates for the office of chancellor.
- (12) To be elected, a candidate must win a majority of the votes cast.
- (13) If no candidate wins a majority of the votes cast in a ballot, the candidate obtaining the least votes falls away, and a further ballot or further ballots must be held until a candidate wins a majority of votes, if two or more candidates obtain the lowest number of votes, a ballot must be held to decide which of these falls away.
- (14) The electoral college determines its own procedure except that -
- (a) the president of convocation, or failing the president the vice-chancellor, or, failing both, a member of the electoral college elected by the electoral college presides at its meetings; and

- (b) the quorum is 32.
- (15) A person is not eligible for nomination for election as chancellor, or to hold or continue to hold office as chancellor, or for nomination for election to, or to be or continue to be a member of the electoral college if he or she -
- (a) is, or becomes, insolvent; or
 - (b) is declared to be of unsound mind by a court of law;
 - (c) is, subsequent to his or her election, convicted of an offence and sentenced to a term of imprisonment without the option of a fine by a court of law.
- (16) The chancellor may be removed from office by a resolution passed by at least two-thirds of the members of the Council. The resolution may not be passed without prior notice without prior notice to the Chancellor of the pending motion for his or her removal and the reasons therefor, and providing him or her with a reasonable opportunity to make representations.

6. Term of office of chancellor

- (1) The chancellor holds office for 10 years, or until he or she resigns, or dies, or until he or she ceases to qualify to hold office in terms of paragraph 5(15).
- (2) If the chancellor is absent, or if the office is vacant, the vice- chancellor, or in his or her absence the acting vice-chancellor or a deputy vice-chancellor nominated by him or her, or failing them, such other person as the council may designate for the purpose, acts for the chancellor.
- (3) Should a vacancy occur for whatever reason, the chancellor next elected holds office for 10 years, or until he or she resigns, or dies, or until he or she ceases to qualify to hold office in terms of paragraph 5(15).

VICE-CHANCELLOR

7. Functions of vice-chancellor

- (1) The vice-chancellor is the chief executive officer of the University.
- (2) The vice-chancellor may delegate any of the powers assigned or delegated to him or her by the council or the senate to any officer of the University, except where the council or the senate decides otherwise.
- (3) The vice-chancellor is by virtue of his or her office a member of –
 - (a) Council
 - (b) each committee of the senate; and
 - (c) each joint committee.

8. Appointment of vice-chancellor

The council appoints the vice-chancellor after consulting the senate and the institutional forum in accordance with the Rules.

9. Termination of office of vice-chancellor

- (1) The vice-chancellor holds office for such period and upon such terms as the council decides.
- (2) The appointment may be terminated during the period of office by six months' notice on either side.
- (3) Any resolution of the council to give notice must be taken at a meeting of the council by a majority consisting of not less than half the membership of the council.
- (4) If the office of the vice-chancellor becomes vacant, the council must –
 - (a) after consulting the senate and the institutional forum appoint an acting vice-chancellor to hold office until such time as a new vice-chancellor takes up his or her appointment; and
 - (b) after consulting the senate and the institutional forum, appoint a successor.

DEPUTY VICE-CHANCELLORS

10. Appointment of deputy vice-chancellors

The council may appoint up to four deputy vice-chancellors, after consulting the senate and the institutional forum.

11. Functions of deputy vice-chancellors

- (1) The deputy vice-chancellors' functions are determined by the council.
- (2) Each deputy vice-chancellor is by virtue of his or her office a member of each committee of the senate.

COUNCIL

12. Functions of council

- (1) The council governs the University in terms of the Act and this Statute.
- (2) Without derogating from the generality of subparagraph (1), the council
 - (a) must administer all property of the University;
 - (b) appoints all staff of the University, but, in the case of academic staff of the University, it may do so only after consultation with the senate;
 - (c) must, subject to the policy determined by the Minister, with the

concurrence of the senate, determine the language policy of the University;

- (d) must, after consultation with the SRC, establish a structure to advise on the policy for student support services within the University;
 - (e) must, after consultation with the senate, determine the admissions policy of the University;
 - (f) must consult the institutional forum as required by the Act;
 - (g) may make, rescind or amend a Statute in terms of the Act.
- (3) The council may –
- (a) establish committees of the council;
 - (b) appoint persons who are and persons who are not members of the council as members of such committees; and
 - (c) terminate the membership of any person it has appointed to any committee.
- (4) The council may delegate or assign any of its powers and functions to –
- (a) a committee of the council;
 - (b) a member of the council; or
 - (c) any officer of the University; or
 - (d) other structures of the University.
- except those powers and functions specified in subparagraph (5).
- (5) The council may not delegate or assign any of the following powers and functions –
- (a) the appointment of the vice-chancellor or of any deputy vice-chancellor;
 - (b) the approval of the annual operating and capital expenditure budgets;
 - (c) the adoption of the annual financial statements and annual report;
 - (d) the determination of the fees to be paid by students;
 - (e) the making of the Statute;
 - (f) the approval of a loan or an overdraft;
 - (g) the decision to embark on the construction of a permanent building or other immovable infrastructural development;

- (h) the purchase of immovable property, or entering into a long-term lease of immovable property; or
 - (i) the establishment or disestablishment of faculties or departments.
- (6) The council remains responsible for the exercise and performance of any function delegated or assigned in terms of subparagraph (4).

13. Composition of council

- (1) The council consists of –
- (a) the vice-chancellor;
 - (b) one deputy vice-chancellor as decided by Council;
 - (c) the chief operating officer;
 - (d) three members of the senate elected by the senate;
 - (e) one member of the academic staff elected by the academic staff;
 - (f) one member of the professional, administrative, support and service staff elected by the professional, administrative, support and service staff in the payclasses up to payclass 6
 - (g) one member of the professional, administrative, support and service staff elected by the professional, administrative, support and service staff in the payclasses 7 to 13;
 - (h) three students elected by the SRC, one of whom must be a postgraduate student;
 - (i) five persons appointed by the Minister;
 - (j) one person appointed by the Premier of the Western Cape;
 - (k) one person appointed by the City Council of Cape Town;
 - (l) four persons elected by the convocation;
 - (m) two persons elected by donors; and
 - (n) five persons appointed by the appointments committee of Council.
- (2) At least 60 per cent of the members of the council must be people who are not staff or students.
- (3) No staff other than staff appointed in an honorary capacity, and no student may be elected or hold an appointment under subparagraphs (1)(i) to (1)(n).
- (4) A person elected, or appointed under subparagraphs (1)(i) to (1)(n) who becomes a student or a staff member, other than a staff member appointed in an honorary capacity, forthwith ceases to be a member of the council.

14. Election of members of council

The manner in which members of the council are elected is as determined by the Rules.

15. Term of office of council members

- (1) The vice-chancellor, the deputy vice-chancellor and chief operating officer remain members of the council for as long as they occupy their posts.
- (2) The term of office of the students elected by the SRC is twelve months, from 1 November to 31 October next.
- (3) The term of office of the remaining members is four years, from 1 July to 30 June four years later, as determined by the Rules, and the term of office of any member elected or appointed after the start of the four-year term of office is for the balance of that four-year term of office.

16. Termination of membership and filling of vacancies

- (1) A member of the council vacates his or her position on the council if he or she –
 - (a) resigns;
 - (b) dies;
 - (c) is absent from three consecutive ordinary meetings of the council without leave;
 - (d) is, or becomes, insolvent;
 - (e) is declared to be of unsound mind by a court of law;
 - (f) is removed from an office of trust by a court of law, or is convicted of an offence and sentenced to a term of imprisonment without the option of a fine by a court of law; or
 - (g) is otherwise disqualified in terms of the Rules.
- (2) In the event of a vacancy through death or otherwise, the registrar must forthwith notify the authority or body that appointed or elected the member, requesting the authority or body to appoint or elect a successor.
- (2A) Notwithstanding the provisions of (2) above, any vacancy in the Council in respect of a member who held office in terms of paragraph 13(1)(l), 13(1)(m) or 13(1)(n) must be filled for the balance of that member's term of office by the appointments committee.
- (3) The successor holds office for the unexpired term of office of the predecessor.
- (4) If 75 per cent or more of the members of the council resign at a meeting of the council, it is deemed that the council has resigned.
- (5) If the council resigns as contemplated in subparagraph (4), a new council must be constituted in terms of paragraphs 13 and 14.

17. Office-bearers of council

- (1) The council must elect a chairperson and a deputy chairperson from among the members of the council who are neither staff nor students.
- (2) The term of office of the chairperson and of the deputy chairperson is four years which runs concurrently with the term of office of the members who serve a four-year term, until the start of the meeting of the council elected to serve for the following four-year term.
- (3) The registrar is the secretary of the council.
- (4) The registrar must invite the council to elect a chairperson and a deputy chairperson at the first meeting of the new council.
- (5) A retiring office-bearer is eligible for re-election, if he or she is still a member of the council.
- (6) If a vacancy occurs in the office of chairperson or deputy chairperson within the term of office, the registrar must invite the council at its next ordinary meeting to fill the vacancy for the unexpired period of the term of office.
- (7) The chairperson presides at all meetings of the council at which he or she is present.
- (8) The deputy chairperson presides at meetings of the council in the absence of the chairperson.
- (9) If both the chairperson and the deputy chairperson are absent, the council elects a chairperson for the meeting concerned from those members present who are neither staff nor students.

COUNCIL MEETINGS

18. Ordinary meetings

The ordinary meetings of the council must be held when and where the council decides, and at least four ordinary meetings must be held each year

19. Special meetings

- (1) Special meetings must be held -
 - (a) when called by the chairperson; and
 - (b) when a written request, for a special meeting, stating the object of the meeting, is received by the registrar from at least five members of the council.
- (2) At least three days' notice must be given of a special meeting, unless, in the opinion of the chairperson, there is an emergency that warrants a shorter period of notice.
- (3) No matters other than those for which the meeting has been called may

be dealt with at a special meeting.

20. Quorum and procedure at council meetings

- (1) The quorum at an ordinary meeting of the council is 15, provided that the majority of the members present are external members.
- (2) The quorum at a special meeting of the council is half of the total membership of the council.
- (3) The registrar must issue a written notice to each member of the council at least three days before each ordinary meeting of the council setting out the place, date and time of the meeting, and the agenda for the meeting, but the council may, if the chairperson so rules, or a majority of the total membership of the council agrees, consider any urgent matter of which notice has not yet been given.
- (4) At each ordinary meeting the council must -
 - (a) confirm the minutes of the last ordinary meeting, and the minutes of any special meeting held since then, with or without amendment, the minutes to be taken as read if copies have been sent to members prior to the meeting; and
 - (b) deal with the business of which notice has been given and any other business which a majority of the total membership of the council agrees to consider.
- (5) The council decides its own rules of debate but -
 - (a) every motion, and every amendment proposed must be seconded and must, if the chairperson requires this, be in writing and a motion or amendment that is not seconded falls away;
 - (b) except where the Act or this Statute requires a different procedure, each question must be decided by the majority of votes of the members present and voting, and unless the meeting decides otherwise voting must be by show of hands;
 - (c) the chairperson has a deliberative vote on each question and also has a casting vote in the case of an equality in the number of votes;
 - (d) the number of members voting for and the number of members voting against any proposal must be entered in the minutes;
 - (e) where any member asks for this, his or her vote for or against a proposal must be entered in the minutes;
 - (f) a motion to make, amend or rescind this Statute may not be considered unless due notice has been given as specified in subparagraph (3);
 - (g) a motion to rescind a resolution of the council within 12 months

after it was passed requires -

- (i) a majority of two thirds of the members present and voting or failing that;
- (ii) a simple majority of the members present and voting at two consecutive ordinary meetings of the council; and
- (h) the ruling of the chairperson on any question of order or procedure is binding unless immediately challenged by a member, in which case the chairperson must submit his or her ruling without discussion to the meeting, which decision is final and binding.

21. Financial and other interests of council members

- (1) Any member of the council or a committee of the council or a person with delegated functions in terms of subparagraph 12(4) who has a direct or indirect financial or personal interest in any matter to be discussed at a meeting must, before or during such meeting, declare such interest, and comply with such other rules and policies as the council may adopt.
- (2) After such declaration such member of the council or a committee of the council must excuse himself or herself from the meeting and any subsequent meeting at which the matter is to be discussed, and is not entitled to receive papers relating to the matter.

SENATE

22. Functions of senate

- (1) The senate is accountable to the council for the academic and research functions of the University.
- (2) Without derogating from the generality of subparagraph (1) the senate –
 - (a) must organise and control the teaching, curricula, syllabuses, examinations and research of the University;
 - (b) makes the rules for examinations and must ensure that all examinations are conducted in accordance with these rules;
 - (c) makes rules prescribing the requirements for each degree, diploma and certificate, and decides who has complied with these requirements;
 - (d) appoints examiners for all examinations;
 - (e) decides the conditions for the award of prizes, scholarships and bursaries, where applicable in accord with the terms of the relevant bequest, deed, or gift; and
 - (f) determines the people to whom such prizes, scholarships and bursaries are to be awarded.

- (3) The senate –
 - (a) must carry out such other functions as the council assigns to it;
 - (b) must submit to the council such reports on its work as may be required by the council; and
 - (c) makes recommendations to the council on matters referred to it by the council and on any other matter affecting the University as it considers necessary.
- (4) The senate may by resolution –
 - (a) establish committees of the senate;
 - (b) appoint to any such committee both members of the senate and persons who are not members of the senate;
 - (c) disestablish any committee it has established; and
 - (d) terminate the membership of a committee of any person it has appointed to any committee.
- (5) The senate may delegate or assign any of its functions to –
 - (a) a committee of the senate;
 - (b) a member of the senate; or
 - (c) any officer of the University,

provided that it may not delegate or assign a function delegated to it by the council without the council’s agreement.
- (6) The senate remains responsible for the performance of any function delegated or assigned in terms of subparagraph (5).

23. Composition of senate

- (1) The senate consists of –
 - (a) the vice-chancellor;
 - (b) the deputy vice-chancellors;
 - (c) the deans and acting deans, and the deputy deans and acting deans
 - (d) the heads and acting heads of academic departments;
 - (e) the professors;
 - (f) twelve members of the non-professorial academic staff elected by the academic staff;
 - (g) the Executive Director of Libraries, the Executive Director of the Department of Student Affairs and additional members as determined by the institutional rules;
 - (h) six members of the professional, administrative, support and service staff elected by these staff;
 - (i) twelve students, six of whom elected by the SRC, at least two of whom must be postgraduate students, and at least one of whom must be a member of the SRC; the remaining six students are elected in terms of the institutional rules;
 - (j) two members of the council elected by the council; and

- (k) one member from each of the academic departments and who are elected as determined by the institutional rules in such a way as to better reflect in the senate the diversity of the academic staff.
- (l) as assessor members without voting rights, the Chief Operating Officer, the Director of the Institutional Planning Department, and the Director of Student Admissions.

24. Election of members of senate

The manner in which members of the senate are elected is as determined by the Rules.

25. Term of office of senate members

- (1) The term of office of the students elected by the SRC is two calendar years as determined by the Rules.
- (2) The term of office of the other elected members of the senate is four years from 1 July to 30 June four years later, as determined by the Rules, and the term of office of any member elected, appointed, or co-opted after the start of the four-year term of office is for the balance of that four-year term of office.

26. Office-bearers of senate

- (1) The vice-chancellor is the chairperson of the senate.
- (2) In the absence of the vice-chancellor the acting vice-chancellor presides, failing the acting vice-chancellor, the meeting elects a chairperson for the meeting.
- (3) The registrar is the secretary of the senate.

27. Quorum and procedure at senate meetings

- (1) The quorum for meetings of the senate is one third of the membership, the members on leave at the date of any meeting not counting, for this purpose, among those present nor in the membership.
- (2) Ordinary meetings must be held when and where senate decides and there must be at least two ordinary meetings of the senate each year.
- (3) Any two members of the senate may place a motion on the agenda for a meeting of the senate by giving notice to the registrar at least seven days before the date of the meeting, and the registrar must include any such motion in the agenda.
- (4) The registrar must issue a notice to each member of the senate at least five days before the date of each ordinary meeting of the senate, setting out the place, date and time of the meeting, and the agenda for the meeting, but the senate may by a three quarters majority of the members present and voting agree to consider any urgent matter of which notice has not been given.
- (5) Special meetings must be held –

- (a) when called by the chairperson; or
 - (b) when a written request for a special meeting, stating the object of the meeting, is received by the registrar from at least 30 members of the senate.
- (6) The registrar must give at least three days' notice to each member of each special meeting of the senate, setting out the place, date and time of the meeting, and the agenda for the meeting.
- (7) No other business may be dealt with at a special meeting, but –
- (a) the senate may by a three-quarters majority of the members present and voting agree to consider any urgent matter of which notice has not been given; and
 - (b) the chairperson may dispense with the period of notice, where in his or her opinion there is an emergency.
- (8) At each ordinary meeting the senate must –
- (a) confirm the minutes of the last ordinary meeting, and the minutes of any special meeting held since then, with or without amendment, the minutes to be taken as read if copies have been sent to members at least five days prior to the meeting; and
 - (b) deal with the business of which notice has been given and any other business which a three-quarters majority of those present and voting agrees to consider.
- (9) The senate decides its own rules of debate, but –
- (a) every motion and every amendment proposed must be seconded, and must, if the chairperson requires this, be in writing, a motion or amendment that is not seconded falls away;
 - (b) except where the Act or this Statute requires a different procedure, each question is decided by the majority of votes of the members present and voting, and unless the meeting decides otherwise voting is by show of hands;
 - (c) the chairperson has a deliberative vote on each question and also has a casting vote in the case of an equality in the number of votes;
 - (d) the number of members voting for and the number of members voting against any proposal must be entered in the minutes;
 - (e) where any member asks for this, his or her vote for or against a proposal must be entered in the minutes;
 - (f) a member may not speak more than once to any motion, or to any amendment, unless the senate allows him or her to do so, but the members moving and seconding a motion or an

amendment have the right to reply to the debate before a vote is taken;

- (g) a member who believes that a question has been sufficiently discussed may move “that the question now be put” and if this is seconded –
 - (i) and if the chairperson also believes that the question has been sufficiently discussed, the chairperson must put to the senate the motion “that the question now be put” which if carried closes the debate, except that the proposer and the seconder do not lose their right to reply to the debate;
 - (ii) and if the chairperson does not believe that the question has been sufficiently discussed, the chairperson must disallow the motion, but has the right to put it as soon as he or she believes that the question has been sufficiently discussed in which case he or she must put to the senate the motion “that the question now be put” which if carried closes the debate, except that the proposer and the seconder do not lose their right to reply to the debate;
- (h) an amendment must be put before the motion or amendment to which it refers and when there are two or more amendments they are put in the order the chairperson decides;
- (i) a motion to rescind a resolution of the senate within 12 months after it was passed requires –
 1. a majority of two thirds of the members present and voting at a meeting of the senate; or
 2. a simple majority of the members present and voting at two consecutive ordinary meetings of the senate, and
- (j) the ruling of the chairperson on any question of order or procedure is binding unless immediately challenged by a member, in which case the chairperson must submit his or her ruling without discussion to the meeting which decision is final and binding.

28. Boards of faculties

- (1) For each faculty there is a committee of the senate known as a faculty board.
- (2) The composition of each faculty board is as decided by the senate from time to time, but in –
 - (a) each faculty other than the Faculty of Health Sciences the composition of the faculty board must include –

- (i) the professors in the faculty;
 - (ii) the heads of departments in the faculty;
 - (iii) the permanent full-time associate professors, senior lecturers, lecturers and assistant lecturers in the faculty;
and
 - (iv) student representatives.
- (b) the Faculty of Health Sciences the composition of the faculty board must include -
- (i) the professors in the faculty;
 - (ii) the heads of departments in the faculty;
 - (iii) members elected by the full-time and part-time associate professors, senior lecturers, lecturers and assistant lecturers in the faculty;
 - (iv) and student representatives.
- (3) The dean of the faculty is the chairperson of his or her faculty board and presides at all meetings of the faculty board at which he or she is present.
- (4) In the absence of the dean, the acting dean presides, and in the absence of both the faculty board must elect a member to preside at that meeting.
- (5) The faculty board -
- (a) is responsible to the senate for organising and controlling the teaching, curricula, syllabuses, examinations and research of the faculty;
 - (b) must make proposals to the senate for rules prescribing the requirements for each degree, diploma and certificate offered in the faculty, including the requirements for admission and readmission;
 - (c) must advise the dean of the faculty on policy for resource allocation in the faculty;
 - (d) must carry out such functions as the senate delegates or assigns to it;
 - (e) is accountable to the senate for its work; and

- (f) may by resolution establish committees of the faculty, and may appoint as members of such committees any people whether they are members of the faculty board or not.
- (6) The procedures at meetings of faculty boards are as decided by the senate from time to time.
- (6A) The quorum of a faculty board is as decided by senate from time to time but may not be less than one third of the total membership of the faculty board where the total membership is reduced by –
 - (a) the number of those who are on approved leave at the date of the meeting; and
 - (b) the number of those who have missed the last three ordinary meetings of the Board prior to the meeting in question.
- (7) Ordinary meetings are held on the dates decided by the senate.
- (8) Special meetings must be held -
 - (a) when called by the dean; or
 - (b) when a written request for a special meeting, stating the object of the meeting, is received by the dean from at least 10 members of the faculty board.
- (9) The faculty board decides its own rules of debate.
- (10) The ruling of the chairperson on any question of order or procedure is binding unless immediately challenged by a member, in which case the chairperson must submit his or her ruling without discussion to the meeting which decision is then final and binding.

INSTITUTIONAL FORUM

29. Functions of institutional forum

- (1) The institutional forum must advise the council on issues affecting the University as required by the Higher Education Act, as amended.
- (2) The institutional forum provides the council with written advice on matters affecting the university.
- (3) The institutional forum considers written feedback from the council on recommendations of the institutional forum not accepted by the Council.
- (4) The institutional forum may delegate or assign any of its functions to –
 - (a) a committee of the institutional forum

- (b) a member of the institutional forum
- (c) any officer of the University

30. Composition of institutional forum

- (1) The institutional forum consists of –
 - (a) 10 members and alternate members elected or appointed by the council, the senate and the vice-chancellor in the manner determined by the Rules;
 - (b) 10 members and alternate members elected by the recognised staff bodies in the manner determined by the Rules; and
 - (c) 10 members and alternate members elected by the SRC in the manner determined by the Rules.
- (2) The council must strive to provide fair and equitable opportunities to all members of the institutional forum to prepare for, and participate in, meetings of the institutional forum.

31. Election of members of institutional forum

The manner in which the members of the institutional forum are elected is determined by the Rules.

32. Term of office of members of institutional forum

- (1) The term of office of the members elected by the SRC is one calendar year.
- (2) The term of office of the members elected by the recognised staff bodies is two years.
- (3) The term of office of the members appointed by the vice-chancellor is for such periods as the vice-chancellor decides.
- (4) The term of office of the other members is four years co-incident with the four-year terms of office of members of the council and of the senate serving four-year terms of office.
- (5) A member of the institutional forum vacates his or her position on the institutional forum if he/she is absent from three consecutive ordinary meetings of the institutional forum without leave.

33. Office-bearers of institutional forum

- 1) The institutional forum must elect three co-chairpersons, one from the members elected or appointed by the council, the senate and the vice-chancellor, one from the members elected by recognised staff bodies, and one from the members elected by the SRC.
- 2) The co-chairpersons preside at meetings in rotation.
- 3) In the absence of the co-chairperson scheduled to preside, the next co-chairperson in the order of rotation presides.
- 4) In the absence of all co-chairpersons, a member elected by those present presides.
- 5) The co-chairpersons hold office for such term of office as the institutional forum decides.
- 6) A person ceases to be a co-chairperson if he or she ceases to be a member of the institutional forum or resigns from the office of co-chairperson.
- 7) If a vacancy occurs in any office of co-chairperson, the institutional forum must fill the vacancy from the group from which the existing co-chairperson was elected, for the unexpired period of his or her term of office.

34. Quorum and procedure at meetings of institutional forum

- 1) The quorum at meeting of the institutional forum is determined by the institutional rules.
- 2) An alternate member may attend any meeting of the institutional forum but may only participate in the deliberations of, and any voting in, the institutional forum if the substantive member to whom he or she is an alternate is absent.
- 3) The institutional forum determines its own procedures subject to the following -
 - (a) ordinary meetings must be held when and where the institutional forum decides, but there must be at least two ordinary meetings of the institutional forum each year;
 - (b) special meetings must be held –
 - (i) when called by the three co-chairpersons; or
 - (ii) when a written request for a special meeting, stating the

object of the meeting, is received by the registrar from at least six members of the institutional forum.

- (c) The ruling of the chairperson on any question of order or procedure is binding unless immediately challenged by a member, in which case the chairperson must submit his or her ruling without discussion to the meeting which decision is final and binding.

JOINT COMMITTEES

35. Joint committees

- 1) The council, the senate or the institutional forum may, by resolution of each body concerned, establish joint committees, and appoint people to be members of them.
- 2) In addition to their members the council, the senate or the institutional forum, may each agree to appoint any other person to a joint committee.
- 3) Any joint committee may be disestablished by the council and the membership of any person appointed to a joint committee may be terminated by the body which appointed him or her.
- 4) The council may delegate or assign to a joint committee any of its powers and functions, other than those specified in paragraph 12(5), but remains responsible for the performance of those powers and functions.
- 5) The senate may delegate or assign to a joint committee any of its functions, but remains responsible for the performance of those functions.
- 6) The institutional forum may delegate or assign to a joint committee any of its functions, but remains responsible for the performance of those functions.

36. Composition of council, senate, institutional forum, committees and joint committees

Any person or body appointing a person, or nominating a candidate for election to the council, the senate, the institutional forum or a committee or a joint committee must have regard to the historic under-representation of women, in particular black women, and black people in general on such bodies and the need to redress that.

CONVOCATION

37. Functions of convocation

The convocation may discuss and state its opinion upon any matter relating to the University, including any matter referred to it by the council, the senate or the institutional forum.

38. Composition of convocation

The convocation consists of -

- (a) the graduates and all holders of diplomas and certificates of the University;
- (b) the vice-chancellor, the deputy vice-chancellors and the academic staff;
and
- (c) those former professors, associate professors and academic officers elected by the senate to be emeritus professors or emeritus associate professors, or emeritus vice-chancellor, or emeritus registrar, or emeritus university librarian.

39. Roll of convocation

- (1) The registrar must keep the role of convocation.
- (2) Every member of convocation must notify the registrar of his or her address and of any change of address.

40. President of convocation

- (1) There must be a president of the convocation who –
 - (a) is elected by the convocation from its members at an annual general meeting;
 - (b) holds office until the close of the second annual general meeting thereafter; and
 - (c) presides at all meetings of the convocation at which he or she is present.
- (2) If a vacancy occurs in the office of the president of the convocation, the vice-chancellor acts until the next annual general meeting which must elect a president to hold office until the close of the second annual general meeting thereafter.

41. Meetings of convocation

- (1) There must be an annual general meeting of the convocation.
- (2) Special general meetings of the convocation –
 - (a) may be called by the president at his or her own instance; or
 - (b) must be called by the president, or in his or her absence by the registrar, when a written request for a special meeting, stating the object of the meeting, is received by the president, or the registrar, from at least 300 members of the convocation.
- (3) The registrar must give notice in a form to be decided by the president of the date, time and place of the annual general meeting of the convocation to each member of the convocation at least 6 weeks before

the date of the meeting and must include in this notice –

- (a) the date by which notices of motion to be considered at the meeting must be received by him or her; and
 - (b) details as to when and how the agenda for the meeting is to be published, the form and the manner of publication to be decided by the president, or failing the president, by the vice- chancellor.
- (4) The registrar must give notice of the date, time and place of any special general meeting at least five days before the date of the meeting in the form and manner decided by the president, or failing the president by the vice-chancellor; this must include –

a notice on the University’s home page on the Worldwide Web.

42. Quorum and procedure at meetings of convocation

The procedure at a meeting of the convocation is decided by the meeting, but -

- (a) the quorum at an annual general meeting is 30;
- (b) the quorum at a special general meeting is 100;
- (c) at the annual general meeting the convocation must -
 - (i) confirm the minutes of the last annual general meeting and of any special general meeting held since then, with or without amendment; and
 - (ii) deal with the business of which notice has been given, and any other business which a three-quarters majority of those present agrees to consider.
- (d) at a special general meeting the convocation must deal with the business, and only the business, of which notice has been given;
- (e) in the absence of the president, the meeting must elect a member to preside for that meeting;
- (f) no member may, without the permission of the meeting, speak more than once to any motion or to any amendment, except that the proposer and seconder of any motion or any amendment must have the right of reply to the debate on the motion or the amendment as the case may be;
- (g) the chairperson has a deliberative vote on each question and also has a casting vote in the case of an equality of votes; and
- (h) the ruling of the chairperson on any question of order or procedure is binding unless immediately challenged by a member, in which case the chairperson must submit his or her ruling without discussion to the meeting which decision is final and binding.

FACULTIES AND DEPARTMENTS

43. Faculties and departments

- (1) The council may, with the concurrence of the senate, establish or disestablish faculties.
- (2) The council may, with the concurrence of the senate, establish or disestablish departments.

SRC

44. SRC

- (1) There is an SRC, which represents all students within the University.
- (2) The SRC consists of between fifteen and twenty students as prescribed in the SRC constitution elected in a general election in the second term of each year in which every student who has been registered for at least one term is entitled to vote.
- (3) The SRC functions in terms of a constitution approved by the Council as a set of institutional rules, which determines the manner of election, the term of office, the functions and the privileges of the SRC.

45. Appointments committee of council

- (1) The appointments committee must make appointments to the Council as provided for in paragraph 13(1)(n) and fill vacancies on the council as provided for in paragraph 16(2A).
- (2) The appointments committee consists of –
 - (a) the chairperson of the council, or failing the chairperson the deputy chairperson, or failing both a member of the council who is neither a staff member nor a student, chosen by the council;
 - (b) the chairperson of the trustees of the UCT Foundation;
 - (c) a trustee of the UCT Foundation who is neither a staff member nor a student, chosen by the trustees;
 - (d) a person who is neither a staff member nor a student, chosen by organised labour in NEDLAC;
 - (e) the vice-chancellor;
 - (f) a dean chosen by the deans of faculties from their number; and
 - (g) the president of the SRC.

REGISTRATION OF STUDENTS, GRANTING OF CREDITS AND EXEMPTIONS AND AWARDING OF QUALIFICATIONS

46. Period of registration as student

A student must register and once registered remains registered until the day before the start of the next academic year, or until he or she graduates or is awarded a diploma or certificate, or is expelled, or for such shorter period as council decides, whichever is the shortest.

47. Award of credits and exemptions

- (1) Subject to subparagraphs (2), (3) and (4) the senate may –
 - (a) grant credit for a course prescribed for a degree, diploma or certificate to a student who has completed a course or courses or other work which the senate considers equivalent at another university or elsewhere;
 - (b) grant exemption from a course prescribed for a degree, diploma or certificate to a student who has completed a course or courses or work which the senate considers equivalent at another university or elsewhere; and
 - (c) accept as part of the period of attendance and registration prescribed for a degree, (other than an honours bachelor), diploma or certificate, a period or periods of attendance and registration, at another university or elsewhere.
- (2) The senate may not grant credit for more than half of the courses prescribed for the degree, diploma or certificate in question in respect of a course, or courses, or other work completed at another university, or elsewhere.
- (3) A candidate for a degree, diploma or certificate must attend at and be registered as a student of the University -
 - (a) for a one year programme, for a full academic year;
 - (b) for a three year programme, for at least two academic years one of which must be the final year;
 - (c) for a four year programme, for at least the final two years; and
 - (d) for a five or six year programme, for at least three academic years including the final two years.
- (4) The combined periods of attendance and registration at the University and at another university or elsewhere accepted by the senate for this purpose must be not less than the minimum period prescribed by the senate for the degree, diploma or certificate in question.

- (5) The senate may -
- (a) grant credit for a course prescribed for a degree, diploma or certificate to a student who has completed the course, or an equivalent course, while registered at the University for another degree, diploma or certificate; and
 - (b) accept, as part of the period of registration prescribed for the degree, diploma or certificate, all or part of a period of attendance at the University while registered for another degree, diploma or certificate, provided that where the degree, or degrees, diploma or diplomas, certificate or certificates concerned has or have been conferred or awarded the senate may not -
 - (i) grant credit for more than half of the prescribed courses except to the extent that it grants credit for courses previously completed over and above the requirements prescribed for the completed degree, diploma or certificate; or
 - (ii) accept as part of the prescribed period of attendance and registration -
 - (aa) for a one year programme, any period;
 - (bb) for a three year programme, more than one and a half years;
 - (cc) for a four year programme, more than two years;
 - or
 - (dd) for a five or six year programme, more than three years.

48. Admission as candidates for degrees of master or doctor

The senate may admit a person as a candidate for the degree of master or doctor -

- (a) if it is satisfied that the person is qualified to undertake the proposed line of study or research or both; and
- (b) who is a graduate of the University or another institution; or
- (c) who has attained a level of competence which in senate's opinion is adequate for the purpose of postgraduate study or research or both in the proposed line of study or research or both.

49. Degrees, diplomas and certificates by examination

- (1) The University may, subject to the Act, award diplomas and certificates and confer degrees of bachelor, honours bachelor, master and doctor in any faculty.

- (2) Except as is provided by paragraph 50 no degree may be conferred upon, and no diploma or certificate may be awarded to, any person who has not –
 - (a) been registered as a student of the University for the period and under the conditions which the senate prescribes; and
 - (b) completed the courses and passed in the examinations prescribed by the senate.

50. Honorary degrees

- (1) The University may confer an honorary degree of doctor upon any person –
 - (a) by resolution of the senate and the council on the grounds of his or her distinguished contribution to learning; or
 - (b) by resolution of the council on any other grounds.
- (2) A proposal that the University confer an honorary degree of doctor –
 - (a) must be in writing and must be received by the registrar by the date decided from time to time by the council;
 - (b) for consideration by the senate and the council must be made by a member of the senate or a member of the council;
 - (c) for consideration by the council must be made by a member of the council;
 - (d) must state the degree proposed and the grounds on which the proposal is made; and
 - (e) must be referred by the registrar to the joint honorary degrees committee of the senate and the council, which must in turn make a recommendation to the senate and the council, or the council, as the case may be.
- (3) The procedures that the senate and the council follow in dealing with a proposal that the University confer an honorary degree must be decided by the senate and the council respectively, but –
 - (a) all voting on a proposal in either body must be by secret ballot, and voting on a proposal in senate must be by secret postal ballot;
 - (b) a resolution of the senate to confer an honorary degree requires a vote of a majority in favour of the members of the senate voting in the ballot;
 - (c) the members of the senate who do not vote and who are on leave on the date the ballot is taken do not count, for this purpose, in the membership of the senate; and
 - (d) a resolution of the council to confer an honorary degree requires a vote of a majority in favour of the membership of the council.
- (4) The award of an honorary degree to a person does not entitle that

person to practise any profession.

51. Conferment of degrees and award of diplomas and certificates

- (1) Degrees are conferred, and diplomas and certificates are awarded at a congregation.
- (2) Congregations are held at times and places decided by the council after consultation with the senate, and the procedures at a congregation, including the procedures for the presentation of those who have qualified for degrees, diplomas and certificates, the award of diplomas and certificates and the conferment of degrees in a person's absence are as decided by the council after consultation with the senate.
- (3) A person is not entitled to any privilege conferred by any degree before he or she has been admitted to such a degree, or to any privilege conferred by any diploma or certificate before he or she has been awarded such a diploma or certificate, at a congregation.

DISCIPLINARY MEASURES AND PROCEDURES

52. Discipline

Every student and member of staff must comply with all Rules.

53. Disciplinary measures and procedures

Every student is subject to the disciplinary measures and procedures determined by institutional rules.

REGISTRAR

54. Appointment of registrar

The council appoints the registrar who holds office for the period and upon the terms the council decides.

55. Functions of registrar

The registrar -

- (a) is secretary of the council, the senate and the convocation;
- (b) may delegate or assign any function provided for in this statute to a member of staff but remains responsible for the performance of any function he or she delegates or assigns; and
- (c) performs such other functions as the vice-chancellor may from time to time decide.

GENERAL AND TRANSITIONAL PROVISIONS

56. Issue of notices

The inadvertent failure or omission to give notice as prescribed in this Statute to any person entitled to receive it, or the non-receipt of such notice by any

person, does not invalidate the proceedings.

57. Numerical fractions

Where a numerical fraction of a number is prescribed in this Statute and where this is not an integral number, the next higher integral number must be taken to be the prescribed number.

58. Procedures where there is no quorum

Where a meeting of the council, the senate, or the institutional forum is called in the manner this Statute requires, and there is no quorum, the chairperson (or in the case of the institutional forum any two co-chairpersons) may adjourn the meeting to a date not less than seven days later and require the registrar to give notice of the time and venue of the adjourned meeting to all members of the body concerned and if this is done the members present at the start of the adjourned meeting constitute a quorum despite any provision to the contrary.

59. General and transitional provisions

- 1) Anything done under any provision of the University of Cape Town (Private) Act, 1999, before this Statute came into operation, is deemed to have been done under the corresponding provision of this Statute.
- 2) The council, the senate and the institutional forum which existed at the commencement of this Statute continue to exist in so far as they are consistent with the provisions of the Act and this Statute.
- 3) The existing regulations promulgated in terms of the Universities Act, 1955 (Act No. 61 of 1955), which were in force at the commencement of the Higher Education Act, 1997 (Act No. 101 of 1997) continue to apply until replaced.

3 INSTITUTIONAL RULES MADE BY THE UNIVERSITY COUNCIL IN TERMS OF THE HIGHER EDUCATION ACT, No 101 of 1997

RULES ON THE ELECTION AND APPOINTMENT OF MEMBERS OF COUNCIL

1. Terms of office

Where members of council serve a four-year term of office the terms of office are for the periods 1 July 2004 to 30 June 2008 and subsequent four-year periods; and members appointed, or elected after the start of any four-year term serve for the balance of that four-year term.

2. Members of senate elected by senate to be members of council

- (a) The three members of the senate elected by the senate to be members of council must be members of the academic staff.
- (b) The senate must elect one person from the members of the senate elected in terms of paragraph 23(f) or the members co-opted from the academic staff in such a way as to better reflect in the Senate the diversity of the academic staff in terms of paragraph 23(j) of the Statute. If this person subsequently becomes a member of senate in some other capacity he or she may continue as a member until the end of his or her term of office.
- (c) The senate must elect the other two members to be members of council from all the members of senate who are members of the academic staff, including the members of senate elected in terms of paragraph 23(f).
- (d) The members of the senate must be elected by ballot at least two weeks before their term of office begins. The senate must decide the way in which this ballot is to be taken.
- (e) A member of the senate chosen to be a member of the council is eligible for re-election.
- (f) A person elected by the senate to be a member of the council ceases to be a member of council under this section -
 - (i) if he or she ceases to be a member of the senate;
 - (ii) if he or she takes up appointment as vice-chancellor or as a deputy vice-chancellor in a substantive or an acting capacity;
 - (iii) for any period during which he or she is suspended from the staff; or
 - (iv) if he or she vacates his or her position in terms of the provisions of paragraph 16 of the Statute.

- (g) If during his or her term of office a member of the senate elected by the senate to be a member of the council is granted leave for a period of six months or longer, the senate must elect some other member of the senate to be a member of the council for the period of the leave which falls within his or her term of office if this is longer than three months.

3. Member of the academic staff elected by the academic staff to be a member of the council

- (a) Every full-time and every permanently appointed member of the academic staff, other than the Vice-Chancellor, is entitled to take part in this election; the academic staff are defined in the Statute, and include academic staff on the joint establishment of the university and of the province whether on the university payroll or the province's payroll, and staff appointed in the categories of research officer.
- (b) The registrar must call for nominations for election by the academic staff of one member of council by notice to each member of the academic staff at least two months before the start of each term of office.
- (c) Nominations must be in writing and must be received by the registrar by the date he or she specifies in the notice.
- (d) Six members of the academic staff must sign each nomination.
- (e) Each nomination must be accompanied by the written acceptance of nomination by the nominee, and by a statement by him or her, not exceeding two hundred words, summarising his or her curriculum vitae and candidature.
- (f) If the registrar receives only one nomination, he or she must declare that person elected. If the registrar receives more than one nomination, he or she must hold a ballot of the members of the academic staff after publishing the statements of the candidates for election, the method of taking the ballot to be decided by the council from time to time.
- (g) A retiring member is eligible for re-election.
- (h) A person elected by the academic staff to be a member of the council ceases to be a member of the council –
 - (i) if he or she takes up appointment as vice-chancellor, or as a deputy vice-chancellor, in a substantive or an acting capacity;
 - (ii) if he or she ceases to be a member of the academic staff;
 - (iii) for any period during which he or she is suspended from the academic staff; or
 - (iv) if he or she vacates his or her position in terms of the provisions of paragraph 16 of the Statute.

- (i) If a vacancy occurs the registrar must call for nominations and hold a ballot to fill the vacancy for the unexpired period of the term of office if this is longer than three months.
- (j) If during his or her term of office a member of the council elected by the academic staff is granted leave for a period of six months or longer, the registrar must call for nominations and hold a ballot to fill the temporary vacancy for the period of the leave granted which falls within the unexpired period of the term of office if this is longer than three months.

4. Member of the professional, administrative, support and service staff elected by these staff to be a member of the council.

- (a) Every full-time and every permanently appointed member of the professional, administrative, support and service staff, other than the registrar, is entitled to take part in the election.
- (b) The members of the professional, administrative, support and service staff must elect two of their number to be a member of the council. Of the two members, one must be elected by the professional, administrative, support and service staff in the payclasses up to payclass 6, and one must be elected by the professional, administrative, support and service staff in the payclasses 7 to 13.
- (c) The registrar must call for nominations for election by notice at least two months before the start of each term of office to each member of the professional, administrative support and service staff.
- (d) Nominations must be in writing and must be received by the registrar by the date he or she specifies in the notice.
- (e) Six members of the staff defined in (a) must sign each nomination. This applies in each of the processes applicable to the election of the members in the two categories of staff referred to in (b).
- (f) Each nomination must be accompanied by the written acceptance of nomination by the nominee, and by a statement by him or her, not exceeding two hundred words, summarising his or her curriculum vitae and candidature.
- (g) If the registrar receives one only nomination in any of the two categories of staff referred to in (b) he or she must declare him or her elected. If the registrar receives more than one nomination in any of the two categories of staff referred to in (b), he or she must hold a ballot of the staff concerned after publishing the statements of the candidates for election, the method of taking the ballot to be decided by the council from time to time.
- (h) A retiring member is eligible for re-election.

- (i) A person elected by the professional, administrative, support and service staff to be a member of the council ceases to be a member of the council -
 - (i) if he or she takes up appointment as registrar in a substantive or an acting capacity;
 - (ii) if he or she ceases to be a member of the professional, administrative, support and service staff;
 - (iii) for any period during which he or she is suspended from the professional, administrative, support service staff; or
 - (iv) if he or she vacates his or her position in terms of the provisions of paragraph 16 of the Statute.
- (j) If a vacancy occurs during the member's term of office, the registrar must call for nominations and hold a ballot to fill the vacancy for the unexpired period of the term of office if this is longer than three months.
- (k) If during his or her term of office a member of the council chosen by the professional, administrative, support and service staff is granted leave for a period of six months or longer, the registrar must call for nominations and hold a ballot to fill the temporary vacancy for the period of leave granted which falls within the unexpired period of the term of office if this is longer than three months.

5. Members of the council elected by the students' representative council

- (a) The term of office of the (three) members of council elected by the students' representative council is for twelve months from 1 November to 31 October next. (*Institutional Rule amended to follow the amended para 15(2) of the Institutional Statute.*)

6. Members of the council elected by the convocation

- (a) The registrar must call for nominations for election by convocation of four persons to be members of council by notice to convocation members at least sixteen weeks before the start of each term of office.
- (b) Nominations must be in writing and must be received by the registrar by the date he or she specifies in the notice.
- (c) Six members of the convocation must sign each nomination.
- (d) Each nomination must be accompanied by the written acceptance of nomination by the nominee, confirmation by the nominee that he or she will be able to attend meetings in Cape Town, and a statement by him or her, not longer than two hundred words, summarising his or her curriculum vitae and candidature.
- (e) If the registrar receives (four) or fewer nominations, he or she must declare those nominated to be duly elected. If the registrar receives more than (four) nominations, he or she must hold a ballot of the members of the convocation,

after publishing the statements made by the candidates for election, the method of publication and the method of taking the ballot to be decided by the council.

- (f) A retiring member is eligible for re-election.
- (g) If a vacancy occurs during the term of office, the registrar must convene a meeting of the Appointments Committee and invite it to fill the vacancy for the unexpired period of office.

7. Members of the council elected by donors

- (a) Each of the following is a donor for the purpose of the Statute -
 - (i) every natural person who was a member of the constituency of donors prior to 1 January 1997;
 - (ii) every natural person who up to the end of the calendar year preceding the year of the election has given R5 000 or more to the University; and
 - (iii) every corporate body, partnership, unincorporated association or trust which has given R25 000 or more to the University in the ten calendar years preceding the year of the election.
- (b) The registrar must call for nominations for election by the donors of two persons to be members of council by notice to donor(s) at least two months before the start of each term of office.
- (c) Nominations must be in writing and must be received by the registrar by the date he or she specifies in the notice.
- (d) Three donors must sign each nomination.
- (e) Each nomination must be accompanied by the written confirmation by the nominee that he or she accepts nomination, confirmation by the nominee that he or she will be able to attend meetings in Cape Town, and a statement by him or her not exceeding two hundred words, summarising his or her curriculum vitae and candidature.
- (f) If the registrar receives two or fewer nominations, he or she must declare those nominated to be duly elected. If the registrar receives more than two nominations he or she must hold a ballot of the donors after publishing the statements of the candidates, the method of taking the ballot to be determined by the council from time to time.
- (g) A retiring member is eligible for re-election.
- (h) If a vacancy occurs during the term of office the registrar must convene a meeting of the Appointments Committee and invite it to fill the vacancy for the unexpired period of office if this is longer than six months.

8. Members of council appointed by the appointments committee of council

(a) The appointments committee consists of –

- (i) the chairperson of the council, or failing the chairperson the deputy chairperson, or failing the deputy chairperson a member of council who is neither an employee nor a student, chosen by council;
- (ii) the chairperson of the trustees of the University of Cape Town Foundation;
- (iii) a trustee of the University of Cape Town Foundation who is neither an employee nor a student, chosen by the trustees;
- (iv) a person who is neither an employee nor a student, chosen by organised labour in NEDLAC;
- (v) the vice-chancellor;
- (vi) a dean, chosen by the deans of faculties from their number; and
- (vii) the president of the SRC,

provided that no member of the appointments committee may be a candidate for appointment to the council by the appointments committee.

- (b) The term of office of the members of the appointments committee other than those whose membership is *ex officio*, is for a calendar year.
- (c) The quorum is four, provided that at least two are neither staff nor students.
- (d) The appointments committee must take reasonable steps to identify people who, because of their experience and/or expertise will be able to contribute to the work of the council, and must ensure, as far as it is able, a mix of diversity and expertise. In doing so it must have regard to the historic under- representation of women, in particular of black women, and of black people on the council.
- (e) The appointments committee makes appointments and fills vacancies as required by the Statute.

Registrar

3 March 2020

RULES ON THE ELECTION OF MEMBERS OF SENATE

1. Terms of office

Where members of senate serve a four-year term of office –

- (a) the terms of office are for periods beginning 1 July and ending 30 June four years later; and
- (b) members appointed, elected or co-opted after the start of any four-year term serve for the balance of that four-year term.

2. Members of the non-professorial academic staff elected by the academic staff to be members of senate

- (a) The registrar must call for nominations for election of twelve non-professorial members of the academic staff to be members of senate by notice to each of the members of the academic staff at least two months before the start of each term of office.
- (b) Nominations must be in writing and must be received by the registrar by the date specified in the notice.
- (c) Each nomination must be signed by six members of the academic staff.
- (d) Each nomination must be accompanied by the written acceptance of nomination by the nominee, and by a statement by them, not exceeding two hundred words, summarising the nominee's curriculum vitae and candidature.
- (e) If the registrar receives twelve or fewer nominations, the registrar must declare the nominees to be duly elected. If the registrar receives more than twelve nominations, a ballot of the members of the academic staff must be held after publishing the statements of the candidates for election, the method of taking the ballot to be decided by the council from time to time.
- (f) A retiring member is eligible for re-election.
- (g) A person elected by the academic staff to be a member of the senate ceases to be a member of the senate under this section –
 - (i) if they becomes a member of the senate in another capacity; or
 - (ii) if they ceases to be a member of the academic staff; or
 - (iii) for any period during which they are suspended from the academic staff.
- (h) If a vacancy occurs the registrar must call for nominations and hold a ballot to fill the vacancy for the unexpired period of the term of office if this is longer than six months.
- (i) If during their term of office a member of the senate chosen in terms of this subsection is granted leave for a period of six months or longer, the registrar, upon

being notified of this by the member, must call for nominations and hold a ballot to fill the temporary vacancy for the period of leave granted which falls within the unexpired period of the term of office if this is longer than six months.

3. Members of the senate elected by the professional, administrative, support and service staff

- (a) The professional, administrative, support and service staff other than the registrar must elect six of their number to be members of the senate.
- (b) The registrar must call for nominations for election by notice to each member of the professional, administrative, support and service staff at least two months before the start of each term of office.
- (c) Nominations must be in writing and must be received by the registrar by the date specified in the notice.
- (d) Each nomination must be signed by six members of the professional, administrative, support and service staff.
- (e) Each nomination must be accompanied by the written acceptance of nomination by the nominee, and by a statement by them, not exceeding two hundred words, summarising the nominee's curriculum vitae and candidature.
- (f) If the registrar receives six or fewer nominations, the registrar must declare the nominees to be duly elected. If the registrar receives more than six nominations, a ballot of the members of the professional administrative, support and service staff must be held after publishing the statements of the candidates for election, the method of taking the ballot to be decided by council from time to time.
- (g) A retiring member is eligible for re-election.
- (h) A person elected to be a member of senate in terms of this section ceases to be a member of senate –
 - (i) if they become a member of the senate in another capacity; or
 - (ii) if they ceases to be a member of the professional, administrative, support and service staff; or
 - (iii) for any period during which they are suspended from the professional, administrative, support and service staff.
- (i) If a vacancy occurs the registrar must call for nominations and hold a ballot to fill the vacancy for the unexpired period of the term of office if this is longer than six months.
- (j) If during their term of office a member of the senate chosen in terms of this subsection is granted leave for a period of six months or longer, the registrar, upon being notified of this by the member, must call for nominations and hold a ballot to fill the temporary vacancy for the period of leave granted which falls within the unexpired period of the term of office if this is longer than six months.

4. Members of the senate elected by the council

- (a) The council must elect two of its number to be members of the senate.
- (b) The registrar must invite the council to choose two of its members to be members of the senate at the first meeting of the new council.
- (c) A person chosen to be a member of the senate under this subsection ceases to be a member of the senate if they ceases to be a member of the council.
- (d) If a vacancy occurs during the term of office the registrar shall invite the council to fill the vacancy for the unexpired period of office if this is longer than six months.

5. Members of the senate elected by the students' representative council

- (a) The students' representative council must elect to be members of the senate -
 - (i) six students, at least two of whom must be postgraduate students, and at least one of whom must be a member of the students' representative council; and
 - (ii) six students from amongst the members of the faculty student councils in accordance with the provisions of the students' representative council's standing rules on committee deployments
- (b) The registrar must invite the students' representative council to make appointments under this subsection at least two months before the start of each term of office.
- (c) A person chosen to be a member of the senate under this section ceases to be a member of the senate –
 - (i) if they ceases to be a student; or
 - (ii) for any period during which they are suspended as a student; or-
 - (iii) if their membership is terminated before the end of their term of office by the students' representative council.
- (d) If a vacancy occurs during a term of office, the registrar must invite the students' representative council to fill the vacancy for the unexpired period of office.

6. Members co-opted by the senate

- (a) A person co-opted to be a member of senate because he or she holds a specified office, and who ceases to hold that office, ceases to be a member of senate.
- (b) The senate must determine the term of office for each person co-opted to be a member of the senate, and may set different terms of office for different people it co-opts.

7. Members of the academic departments appointed by senate's Nominations Committee to be members of senate to better reflect in the senate the diversity of the academic staff

- (a) The academic departments must each nominate from within their department one member of the academic staff who is not a member of senate to be a member of senate.
- (b) The chair of the Nominations Committee must call for nominations by alerting each of the heads of the academic departments and inform them of the process to be followed at least two months before the beginning of each new term.
- (c) The head of department must submit the nomination by the date specified in the notice from the chair of the Nominations Committee to the departmental transformation committee, where such a structure is in place, and faculty transformation committee for endorsement.
- (d) The faculty transformation committees, having endorsed the nominations by the academic departments, will submit the names of the nominated members from within their faculties by the date specified in the notice from the chair of the Nominations Committee to the senate's Nominations Committee for appointment.
- (e) A person appointed to be a member of senate in terms of this section ceases to be a member of senate under this section –
 - (i) if they become a member of senate in a different capacity;
 - (ii) if they cease to be a member of the academic staff of the department from which they were appointed;
 - (iii) if they cease to be a member of the academic staff of the University; or
 - (iv) for any period during which they are suspended from the academic staff.
- (f) If a vacancy occurs the chair of the Nominations Committee must call for nominations and follow the process prescribed in sections (c) and (d) above to fill the vacancy for the unexpired period of the term of office if this is longer than six months.
- (g) If during their term of office a member of the senate appointed in terms of this section is granted leave for a period of six months or longer, the chair of the Nominations Committee, upon being notified of this by the member, must call for nominations and follow the process prescribed in sections (c) and (d) above to fill the temporary vacancy for the period of leave granted which falls within the unexpired period of the term of office.
- (h) A retiring member is eligible for re-appointment.

RULES ON THE ELECTION AND APPOINTMENT OF THE INSTITUTIONAL FORUM

1. Members and alternate members elected by the council and by the senate, and appointed by the vice-chancellor

- (a) The council must elect from its number three members, and one general alternate member, the senate must elect from its number three members, and one general alternate member, and the vice-chancellor must appoint two executive officers and two deans to be members, of the institutional forum.
- (b) A person elected –
 - (i) by the council ceases to be a member or alternate member of the institutional forum if he or she resigns from the institutional forum or ceases to be a member of the council; and
 - (ii) by the senate ceases to be a member or alternate member of the institutional forum if he or she resigns from the institutional forum or ceases to be a member of the senate.
- (c) The members and alternate members elected by the council or the senate serve a four-year term of office where –
 - (i) the terms of office are for the periods 1 July 2004 to 30 June 2008 and subsequent four-year periods; and
 - (ii) members elected after the start of any four-year term serve for the balance of that four-year term.
- (d) The members appointed by the vice-chancellor serve such terms of offices the vice-chancellor decides.
- (e) If a vacancy occurs during the term of office, the council or the senate or the vice-chancellor, as the case may be, must fill the vacancy for the unexpired period of the term of office.

2. Members elected by recognised staff bodies

- (a) The staff bodies must choose ten members of staff to be members, and may appoint up to ten members of staff to be alternate members of the institutional forum, as follows -
 - (i) If there are three, or less than three, staff bodies each having fifteen per cent or more of the total permanent and full-time staff of the University as members at the start of the period of office any such staff body must appoint two members and one alternate member.

- (ii) If there are more than three staff bodies each of which has fifteen per cent or more of the total permanent and full-time staff of the University as members at the start of the period of office –
- any staff body having twenty per cent or more must appoint two members and one alternate member; and
 - any staff body which has ten per cent or more (but fewer than twenty per cent) of the total permanent and full-time staff of the University as members at the start of the period of office must appoint one member and one alternate member.
- (iii) The remainder, if any, of the ten places must be filled in the following way -
- if there are as many or fewer remaining staff bodies as there are remaining places, each of these staff bodies must elect one member;
 - if there are more remaining staff bodies than there are remaining places, these staff bodies must fill these places by agreement among themselves, and where they fail to agree each staff body must nominate one candidate, and the places must be filled by drawing by lot as many names from those nominated as there are remaining places; and
 - if there are fewer remaining staff bodies than there are remaining places, the place or places left after each staff body has made an appointment must be filled by the staff bodies with the largest membership, in order, appointing one additional member.
- (iv) A staff body may count for the purposes of this section only those members who belong to no other staff body, plus those who belong to other staff bodies but for this purpose choose this one as the body to represent them.
- (v) A person appointed under this subsection ceases to be a member, or alternate member, of the institutional forum –
- if he or she resigns from the institutional forum; or
 - if having been elected by the staff, or appointed by the vice-chancellor, he or she ceases to be a member of staff; or
 - if the staff body that appointed him or her ceases to be a recognised staff body; or
 - for any period during which he or she is suspended from the University
- (vi) The term of office of the members appointed by staff bodies is for two years where –

- the terms of office are for the periods 1 July 1999 to 30 June 2001 and subsequent two-year periods; and
 - members appointed after the start of any two-year term serve for the balance of the two-year term.
- (vii) If a vacancy occurs during the term of office, the staff body or bodies concerned must fill the vacancy for the unexpired period of the term of office.

3. Members elected by the students' representative council

- (a) The students' representative council must elect ten students, and may elect up to ten specific or general alternate members, to be members and alternate members of the institutional forum.
- (b) At least three of the ten members must be postgraduate students and at least one of the alternate members must be a postgraduate student.
- (c) A person chosen under this section ceases to be a member of the institutional forum –
- (i) if he or she resigns from the institutional forum; or
 - (ii) if he or she ceases to be a student; or
 - (iii) for any period during which he or she is suspended from the University.
- (d) The term of office of members appointed by the students' representative council is one calendar year.
- (e) If a vacancy occurs during the term of office, the students' representative council must fill the vacancy for the unexpired period of the term of office.

4. Quorum

The quorum for meeting of the institutional forum is 15 including at least five members, or alternate members, appointed in terms of each of:

- i. Section 30(1)(a);
- ii. Section 30(1)(b); and
- iii. Section 30(1)(c);

of the University of Cape Town Institutional Statute